

## MONTHLY STATUS REPORT FOR MONETARY PENALTY – FEBRUARY 2025

### Details of Penalty Levied on DPs:

DP ID	DP Name	Imp Monetary	Audit Type/ Reasons
10900	MOTILAL OSWAL FINANCIAL SERVICES LIMITED	200	PAN Modification
11202	INVENTURE GROWTH & SECURITIES LTD-BHAVNAGAR	200	DIS not upload
11300	PRABHUDAS LILLADHER PVT LTD	5,700	DIS not upload
11700	DALAL & BROACHA STOCK BROKING PVT. LTD	800	DIS not upload
12100	SURESH RATHI SECURITIES PRIVATE LIMITED	400	DIS not upload
12101	SURESH RATHI SECURITIES PRIVATE LIMITED - JODHPUR	100	PAN Modification
12800	DINDAYAL BIYANI STOCK BROKERS LIMITED	300	DIS not upload
13200	ASIT C. MEHTA INVESTMENT INTERRMEDIATES LIMITED	100	PAN Modification
14101	ALANKIT ASSIGNMENTS LTD-JAIPUR	300	DIS not upload
14200	JANATA SAHAKARI BANK LIMITED, PUNE	1,000	DIS not upload
14300	ICICI BANK LIMITED	100	PAN Modification
16700	VSE STOCK SERVICES LIMITED	200	DIS not upload
16700	VSE STOCK SERVICES LIMITED	300	PAN Modification
17000	INDIRA SECURITIES PRIVATE LIMITED	2,000	Internal Audit
18600	SYKES & RAY EQUITIES (INDIA) LIMITED	600	DIS not upload
18900	B R JALAN SECURITIES PRIVATE LIMITED	200	DIS not upload
19101	SMC GLOBAL SECURITIES LIMITED - NEW DELHI	100	PAN Modification
19200	C.R.KOTHARI AND SONS SHARES & STOCK BROKERS PRIVATE LIMITED	600	DIS not upload
20800	BOI SHAREHOLDING LIMITED	100	PAN Modification

DP ID	DP Name	Imp Monetary	Audit Type/ Reasons
21800	SKP SECURITIES LIMITED	200	Inspection
23400	DAILY GONG FINANCIAL SERVICES LIMITED	1,000	DIS not upload
24200	TRUSTLINE SECURITIES LIMITED	1,000	Inspection
24400	MONEYCARE SECURITIES AND FINANCIAL SERVICES LIMITED	2,000	Internal Audit
24700	MANGAL KESHAV FINANCIAL SERVICES LLP	200	PAN Modification
25400	LSC SECURITIES LIMITED	600	DIS not upload
25900	UNION BANK OF INDIA	300	DIS not upload
25900	UNION BANK OF INDIA	2,000	Internal Audit
25900	UNION BANK OF INDIA	100	PAN Modification
28100	PRAGYA SECURITIES PRIVATE LIMITED	1,000	DIS not upload
28900	SUSHIL FINANCIAL SERVICES PRIVATE LIMITED	200	PAN Modification
29000	R R S SHARES & STOCK BROKERS PRIVATE LIMITED	200	DIS not upload
29200	JSEL SECURITIES LIMITED	1,500	DIS not upload
30200	RELIGARE BROKING LIMITED	200	PAN Modification
32600	MANALI TRADING & HOLDINGS PRIVATE LIMITED	50,000	Inspection
32900	JAVERI FISCAL SERVICES LIMITED	200	DIS not upload
33200	ANGEL ONE LIMITED	200	PAN Modification
35100	MARWADI SHARES AND FINANCE LIMITED	300	PAN Modification
35300	RAJCHANDRA CAPITAL SERVICES PRIVATE LIMITED	800	DIS not upload
35300	RAJCHANDRA CAPITAL SERVICES PRIVATE LIMITED	100	PAN Modification
36000	SHAREKHAN LIMITED	25,000	Inspection
36000	SHAREKHAN LIMITED	3,000	Internal Audit
36300	SW CAPITAL PRIVATE LIMITED	100	PAN Modification
36700	ELIXIR EQUITIES PRIVATE LIMITED	1,200	DIS not upload
38000	SHARE INDIA SECURITIES LIMITED	300	DIS not upload
38200	MARKET CREATORS LIMITED	50,000	Inspection

DP ID	DP Name	Imp Monetary	Audit Type/ Reasons
39800	FAIR INTERMEDIATE INVESTMENT PRIVATE LIMITED	10,000	Inspection
40400	ANUSH SHARES & SECURITIES PRIVATE LIMITED	1,000	BO Grievance
40400	ANUSH SHARES & SECURITIES PRIVATE LIMITED	1,000	Internal Audit
41400	RELIANCE SECURITIES LIMITED	2,000	Internal Audit
41400	RELIANCE SECURITIES LIMITED	100	PAN Modification
41500	JAINAM BROKING LIMITED	200	DIS not upload
41500	JAINAM BROKING LIMITED	100	PAN Modification
42100	KHAJANCHI & GANDHI STOCK BROKING PRIVATE LIMITED	1,800	DIS not upload
42100	KHAJANCHI & GANDHI STOCK BROKING PRIVATE LIMITED	50,000	Inspection
42100	KHAJANCHI & GANDHI STOCK BROKING PRIVATE LIMITED	4,000	Internal Audit
43000	ARIHANT CAPITAL MARKETS LIMITED	200	DIS not upload
44600	OSWAL SHARES & SECURITIES LIMITED	200	DIS not upload
44700	IIFL SECURITIES LIMITED	200	DIS not upload
45500	DEALMONEY COMMODITIES PRIVATE LIMITED	1,500	DIS not upload
45500	DEALMONEY COMMODITIES PRIVATE LIMITED	25,000	Inspection
46900	FE SECURITIES PRIVATE LIMITED	200	DIS not upload
47200	SBICAP SECURITIES LIMITED	200	PAN Modification
48400	KUNVARJI FINSTOCK PRIVATE LIMITED	100	PAN Modification
48600	AFN LANGRANA SHARE AND STOCK BROKERS PRIVATE LIMITED	3,000	Internal Audit
49700	R R NABAR & CO. SHARE BROKERS PRIVATE LIMITED	200	DIS not upload
50100	NEW INDIA CO-OPERATIVE BANK LIMITED	200	DIS not upload
50600	SYNDICATE BANK	3,000	Internal Audit
50900	INDO THAI SECURITIES LIMITED	50,000	Inspection

DP ID	DP Name	Imp Monetary	Audit Type/ Reasons
52200	BHH SECURITIES PRIVATE LIMITED	76,000	Internal Audit
52900	ADINATH CAPITAL SERVICES LIMITED	1,600	DIS not upload
53600	MUNOTH CAPITAL MARKET LIMITED	900	DIS not upload
53700	COMFORT SECURITIES LIMITED	2,000	DIS not upload
53800	SANKALP SHARE BROKERS PRIVATE LIMITED	200	DIS not upload
54200	SAMCO SECURITIES LIMITED	100	PAN Modification
55800	EUREKA STOCK & SHARE BROKING SERVICES LIMITED	200	DIS not upload
57800	AUM CAPITAL MARKET PRIVATE LIMITED	800	DIS not upload
58200	SHRI PARASRAM HOLDINGS PRIVATE LIMITED	5,000	Inspection
58200	SHRI PARASRAM HOLDINGS PRIVATE LIMITED	2,000	Internal Audit
58500	THE SARASWAT CO-OPERATIVE BANK LIMITED	200	DIS not upload
59100	LAKSHMISHREE INVESTMENT AND SECURITIES LIMITED	25,000	Inspection
59100	LAKSHMISHREE INVESTMENT AND SECURITIES LIMITED	50,000	Thematic Inspection
61200	SPFL SECURITIES LIMITED	100	PAN Modification
68000	MANU STOCK BROKING PRIVATE LIMITED	200	DIS not upload
68100	FRR SHARES AND SECURITIES LIMITED	15,000	Inspection
68500	NINE STAR BROKING PRIVATE LIMITED	100	PAN Modification
69000	MOTISONS SHARES PRIVATE LIMITED	3,000	Internal Audit
69200	HPMG SHARES AND SECURITIES PRIVATE LIMITED	200	DIS not upload
69400	BHAJEE PORTFOLIO PRIVATE LIMITED	2,100	DIS not upload

DP ID	DP Name	Imp Monetary	Audit Type/ Reasons
69500	VIJETA BROKING INDIA PRIVATE LIMITED	400	DIS not upload
69600	MUDRA OM SECURITIES PRIVATE LIMITED	200	DIS not upload
69700	RAGHUNANDAN CAPITAL PRIVATE LIMITED	400	DIS not upload
70400	MULTIGAIN SECURITIES SERVICES PRIVATE LIMITED	300	DIS not upload
71300	A C AGARWAL SHARE BROKERS PRIVATE LIMITED	200	DIS not upload
71300	A C AGARWAL SHARE BROKERS PRIVATE LIMITED	3,000	Internal Audit
74200	VISHWAS FINCAP SERVICES PRIVATE LIMITED	1,000	Internal Audit
74300	ATS SHARE BROKERS PRIVATE LIMITED	50,000	Inspection
74900	SHRENI SHARES LIMITED	300	DIS not upload
75900	ACHINTYA SECURITIES PRIVATE LIMITED	200	DIS not upload
76400	WEALTH DISCOVERY SECURITIES PRIVATE LIMITED	300	DIS not upload
80400	ZEBU SHARE AND WEALTH MANAGEMENTS PRIVATE LIMITED	100	PAN Modification
82300	MANDOT SECURITIES PRIVATE LIMITED	200	DIS not upload
82400	GANGANAGAR COMMODITY LIMITED	200	DIS not upload
82500	5PAISA CAPITAL LIMITED	3,900	DIS not upload
82600	JAMBUWALA COMMODITIES PRIVATE LIMITED	200	DIS not upload
83000	JBS SECURITIES PRIVATE LIMITED	200	DIS not upload
83000	JBS SECURITIES PRIVATE LIMITED	2,000	Internal Audit
83500	WIINANCE FINANCIAL SERVICES PRIVATE LIMITED	200	DIS not upload
83800	PENTAGON STOCK BROKERS PVT LTD	200	DIS not upload

DP ID	DP Name	Imp Monetary	Audit Type/ Reasons
84100	SPARK SECURITIES PRIVATE LIMITED	200	DIS not upload
85200	SILVER STREAM EQUITIES PRIVATE LIMITED	200	DIS not upload
86600	PENTAD SECURITIES PRIVATE LIMITED	50,000	Inspection
87500	KEDIA CAPITAL SERVICES PRIVATE LIMITED	200	DIS not upload
87700	GANESH STOCKINVEST PRIVATE LIMITED	100	PAN Modification
88000	FEDERAL CAPITAL MARKETS LIMITED	1,400	DIS not upload
88400	RELITRADE STOCK BROKING PRIVATE LIMITED	2,000	Internal Audit
88600	BAJAJ FINANCIAL SECURITIES LIMITED	100	PAN Modification
88702	GROWW INVEST TECH PRIVATE LIMITED	200	DIS not upload
88800	PAYTM MONEY LIMITED	200	DIS not upload
89200	SHREE BALAJI MULTICOMMODITIES PRIVATE LIMITED	300	DIS not upload
89400	FYERS SECURITIES PRIVATE LIMITED	5,000	Inspection
90000	ESPRESSO FINANCIAL SERVICES PRIVATE LIMITED	50,000	Inspection
90000	ESPRESSO FINANCIAL SERVICES PRIVATE LIMITED	3,000	Internal Audit
90100	SPARK PWM PRIVATE LIMITED	300	DIS not upload
92300	CHANDRAKALA BROKING SERVICES PRIVATE LIMITED	100	PAN Modification
93400	VEDIKA STOCK BROKING PRIVATE LIMITED	25,000	Inspection
95800	GYANDEEP STOCKS PRIVATE LIMITED	300	DIS not upload
95800	GYANDEEP STOCKS PRIVATE LIMITED	1,000	Internal Audit

DP ID	DP Name	Imp Monetary	Audit Type/ Reasons
96100	BONDBAZAAR SECURITIES PRIVATE LIMITED	2,000	DIS not upload
96400	NU INVESTORS TECHNOLOGIES PVT LTD	3,000	Internal Audit
96800	WEALTHYIN BROKING PRIVATE LIMITED	50,000	Inspection
96800	WEALTHYIN BROKING PRIVATE LIMITED	2,000	Internal Audit
98100	SB PATIL SECURITIES PRIVATE LIMITED	3,000	Internal Audit
99600	FINDOC INVESTMART PRIVATE LIMITED	3,000	Internal Audit

**Details of Penalty Levied on RTAs/ Issuers directly connected to depository:**

DP ID	DP Name	Imp Monetary	Audit Type/ Reasons
152	MAHESHWARI DATAMATICS PVT. LIMITED	15,000	RTA INSPECTION
110	BIGSHARE SERVICES PRIVATE LIMITED	1,000	RTA Internal Audit Report compliance not submitted.
118	CAMEO CORPORATE SERVICES LIMITED	2,000	RTA Internal Audit Report compliance not submitted.
296	SANWARIA CONSUMER LIMITED	4,000	RTA Internal Audit Report Non-Submission of Report and IAR compliance not submitted
384	INDO MONEY SECURITIES PRIVATE LIMITED	3,000	RTA Internal Audit Report compliance not submitted.